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International Journal of Geomechanics, 2018; 18(10):04018138-1-04018138-13

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11 January 2020

<http://hdl.handle.net/2440/115990>

Digital image processing on segregation of rubber sand mixture

Can Wang¹, An Deng², Abbas Taheri³

1. Graduate Student, School of Civil, Environmental and Mining Engineering, Univ. of Adelaide, Adelaide, SA 5005, Australia. E-mail: c.wang@adelaide.edu.au
2. Senior Lecturer, School of Civil, Environmental and Mining Engineering, Univ. of Adelaide, Adelaide, SA 5005, Australia (corresponding author). E-mail: an.deng@adelaide.edu.au
3. Senior Lecturer, School of Civil, Environmental and Mining Engineering, Univ. of Adelaide, Adelaide, SA 5005, Australia. E-mail: abbas.taheri@adelaide.edu.au

ABSTRACT

The segregation of rubber sand mixtures, when they form heaps as observed by the method of digital image processing (DIP), is presented. Through segmenting the digital images into a binary picture, the DIP method enables material ingredients identification and three-dimensional mapping of mixture segregation. This helps reach a better understanding of mixture heterogeneity when incorporating artificial material into conventional geotechnical materials. To gain an insight into the mixture heterogeneity, the DIP results were used to validate a discrete element model and the model was then used to examine the influence of particle properties on the segregation. The discrete element simulations showed that the particle density is critical in material segregation, and the segregation becomes more noticeable when the materials density ratio increases. This trend is restricted by increasing the inter-particle surface roughness.

Keywords: segregation; digital image processing; discrete element; density; roughness

23 INTRODUCTION

24 Waste tires create problems such as landfilling, health, and environmental challenges. The
25 tires can go into recycling facilities for a new life. One of the new-life solutions is to reuse
26 the tires as geomaterial alternatives (Foose et al. 1996; Zornberg et al. 2004). Rubber sand
27 mixture is an attractive alternative and has been widely used in geotechnical applications,
28 including roadway construction (Bosscher et al. 1997; Nightingale and Green 1997),
29 lightweight fill (Ahmed and Lovell 1993; Masad et al. 1996), backfill for retaining walls
30 (Humphrey and Manion 1992; Garga and O'shaughnessy 2000), slope stabilization (Poh and
31 Broms 1995) and seismic isolation system (Tsang et al. 2012). Where the mixtures are
32 prepared, placed or compacted, the ingredients likely segregate. Whichever induces the
33 material segregation, a segregated profile causes heterogeneity and sometimes severe
34 instability problems such as liquefaction (Yoshimine and Koike 2005). The sand and rubber
35 ingredients differ at least in density and surface roughness and, when placed as a mixture,
36 lead to flow-induced segregation as defined by Ottino and Khakhar (2000). In general, the
37 factors causing segregation can be classified into particle sizes, densities, shapes and particle
38 resilience (Williams 1976). Of all the segregation mechanisms, trajectory segregation,
39 percolation of fine particles and the rise of coarse particles on vibration are commonly
40 recognized (Kudrolli 2004). Other mechanisms such as rolling, sieving, water flow, soil
41 crushing etc. were also reported in early works (Kuerbis and Vaid 1988; Ottino and Khakhar
42 2000; Lőrincz et al. 2005; Watabe et al. 2014).

43 The first reported work on segregation mechanism came from Donald and Roseman
44 (1962), who investigated the experiment of mixing particles of different sizes and densities in
45 a rotating horizontal drum. The recent work to study segregation by using the discrete
46 element method (DEM) has become popular as the DEM is regarded as a valuable tool for
47 studying granular flow and mixing mechanisms, e.g., free surface (Shi et al. 2007) and

48 hopper discharge (Anand et al. 2010). These tests have shown unanimously that the particle
49 size and density are the major factors leading to segregation. Other factors, such as shape,
50 chute angle, liquid content, rolling friction and magnetic fields also contribute to material
51 segregation (Anand et al. 2010).

52 While extensive studies have been performed to test material segregation, there is
53 limited research regarding segregation phenomenon when the rubber sand mixture falls to
54 form a heap. There is also a limited quantitative connection in terms of segregation
55 measurements between numerical simulations and experimental observation. Studies of the
56 sand pile by DEM simulation are limited when it comes to the angle of repose or force of
57 percolation (Zhou et al. 1999; Yang et al. 2000; Zhou et al. 2001). And although significant
58 achievements have been made since Zhou et al. (1999) first introduced the concept ‘rolling
59 friction’ in studying heap formation, there is a lack of study regarding the phenomenon of
60 segregation.

61 This paper presents the segregation phenomenon observed when the rubber sand forms a
62 heap. It investigates the influence of particle properties using DEM. Since many studies have
63 been conducted on evaluating particle sizes, this paper focused on studying segregation
64 without size difference, e.g., a mixture with similar ingredient sizes. The results of the study
65 are presented as a comparison between experiments and numerical simulations so that a
66 parametric study can be performed. Also, it contains the calibration process for restitution
67 coefficient measurements and the angle of repose tests so that important micro-properties
68 could be obtained. These examinations help quantify mixture segregation when the mixture is
69 processed. The parametric study will examine and identify the critical material properties
70 causing the segregation and whereby solutions can be recommended to reduce the
71 segregation.

72 **METHODOLOGY**

73 In this section, prior to investigating material segregation, a number of tests are performed to
74 study granular behavior. DEM is adopted as a numerical method to calibrate
75 micromechanical properties. This could be achieved through heap-forming test and repose
76 angle studies. Serious segregation was identified in the mixture pile after the heap-forming
77 process in both numerical simulation and tests. To accurately measure the material
78 segregation, digital image processing is used. Detailed discussion will be provided in the
79 following sections.

80 **Discrete Element Method**

81 To simulate the granular interaction, the use of DEM can provide an insight into the
82 micromechanical properties reflecting the macroscopic phenomenon. This method simulates
83 the material as a collection of frictional and rigid spheres so that complex problems can be
84 addressed through observing particles contact (Cundall and Strack 1979). The contact model,
85 as depicted in Itasca (2009), is shown in Figure 1. The contact model can be treated as either
86 a linear model or as a non-linear model (e.g., Hertz–Mindlin contact). Both models produce
87 normal and shear forces based on normal contact and shear stiffness respectively. A Coulomb
88 limit is imposed on the shear force considering a friction coefficient, μ . The dashpot
89 component is assumed to dissipate extra energy in both normal and shear directions.

90 **Damping Ratio**

91 As a part of an examination of the microscopic properties, it is necessary to evaluate the
92 effect of material damping which could have an impact on mixture segregation. The damping
93 ratio is a dimensionless parameter that quantifies system decay during oscillations, which is
94 an important property input in DEM. Also, for a numerical analysis on rubber sand mixture,
95 the individual damping ratio at granular contact is not clear and lacks a calibration process

96 (Patil et al. 2010; Evans and Valdes 2011; Lee et al. 2014). In the repose angle test, when
97 different particles are dropped from a height, due to the difference in granular re-bound
98 height, it may generate a different heap when they are stabilized, which may greatly influence
99 the mixture segregation at its surface. Therefore, calibrating the material damping ratio as a
100 DEM input parameter is necessary. According to Kawaguchi et al. (1992), the restitution
101 height is directly linked to the material properties of energy dissipation, and the relationship
102 can be obtained by solving the motion equation for free vibration with viscous damping, as
103 follows:

$$\alpha = \exp\left(-\frac{\zeta\pi}{\sqrt{1-\zeta^2}}\right) \quad (1)$$

104 where α is restitution coefficient which is determined from the restitution height, h ; ζ is the
105 ratio of the damping constant to the critical damping constant. For simplification, ζ is referred
106 to as the ‘damping ratio’. It is clear that a granule’s damping ratio can be calculated through
107 its re-bound height. Therefore, an experiment was designed to calibrate this parameter input.

108 The materials used for the experiment were spherical silica beads and rubber beads
109 with a radius of 5 mm, as shown in Figure 2. The two materials are identical in composition
110 respectively to the sand and rubber beads used for the mixture. The restitution process used a
111 glass board as a base. Silica and rubber beads were released at a height of $H=340$ mm, against
112 a vertical scale board, and a high resolution camera of 60 fps was placed one meter in front of
113 the scale board. The material size and the release height were determined as being
114 proportional to the sizes of samples used for the tests that followed.

115 Four silica and four rubber beads were chosen at random for the test, as shown in
116 Figure 2. Each silica and rubber bead was tested three times independently. Once the beads
117 were released the maximum re-bound height was captured by using the camera to record the

118 whole process, as shown in Figure 3. The images were analyzed at each frame so that the
119 maximum restitution height could be determined. The material beads at the maximum re-
120 bouncing height are illustrated in Figure 3. The final results of the repeated tests are given in
121 Figure 4. Generally, the silica beads had a much higher height of bounce, with an average of
122 170 mm. Rubber beads rebound to 31.9 mm on average.

123 For both of the silica and rubber beads, the radius of the bead, $r=5$ mm, must be
124 deducted when comparing its height of rebound. Therefore the restitution coefficient α is
125 expressed as:

$$\alpha = \frac{h - r}{H - r} \quad (2)$$

126 The corresponding restitution coefficients were 0.49 for sand and 0.078 for rubber.
127 Substituting the results to Eq. (1) to obtain the damping ratio, the results were 0.22 and 0.63
128 for sand and rubber, respectively. The standard deviation for silica beads and rubber beads
129 was found to be 0.3 and 0.16, respectively, suggesting excellent agreement of the tests.

130 A three-dimensional simulation of the restitution test was also performed by using
131 numerical software Particle Flow Code (PFC) 3D. The purpose of the simulation was to
132 evaluate the materials' restitution heights under the influence of granular micro-properties
133 such as the damping ratio, material density or stiffness. For each sphere, different damping
134 ratios ranging from 0 to 1 were considered. Actual material densities, such as $\rho=1,300$ kg/m³
135 and 2,600 kg/m³, respectively, were selected as input values. For each density value, various
136 contact types and contact stiffness values were compared, including the linear contact model
137 with effective modulus $E=1 \times 10^7$ Pa and 1×10^9 Pa, respectively, and the Hertz contact model
138 with shear modulus $G=3 \times 10^7$ Pa, Poisson's ratio $\nu=0.5$, and $G=3 \times 10^{10}$ Pa, $\nu=0.3$,
139 respectively. The same particles size and releasing height were used in the numerical

140 simulation. The restitution coefficient α , as defined in Eq. (2), is plotted about the damping
141 coefficient ζ and other parameters. This is shown in Figure 5.

142 In Figure 5, the numerical result fits well with the analytical prediction from Eq. (1).
143 It is thus evident that the damping ratio ζ is independent from factors such as contact type,
144 stiffness values or densities, and that the only influence on the damping ratio is its restitution
145 height. The numerical–analytical comparison provides evidence that contact damping
146 between particles and the base surface can be directly obtained from the above calibration.
147 The relevant results are discussed in the DEM model results.

148 One could argue that material shape or size may create different results. However, it is
149 noted that the rebound height of silica beads is around five times of that of rubber beads, as
150 observed in the test that the irregular and smaller sized rubber and sand beads are used. This
151 can be visually observed but is difficult to capture using the camera. It is much easier to
152 capture the restitution height of spheres because the irregular ones may bounce in different
153 directions. Also, the spheres were simulated numerically, in order to provide consistency for
154 this experiment.

155 **Angle of Repose**

156 The repose angle test was performed in this study to investigate material frictional behavior,
157 as there is a strong correlation between surface characteristics and the repose angle (Liu et al.
158 2012). For a specific material, its frictional behavior contains two parts: sliding friction and
159 rolling friction, which have been well established through numerical studies (Zhou et al. 1999;
160 Yang et al. 2000; Zhou et al. 2001). In the present study, sliding friction indicates
161 Mohr–Coulomb friction, resisting relative translational movement, while rolling friction
162 indicates the ability of particles to rotate, which reflects particle irregularities. However, one
163 test cannot determine two unknowns (i.e., sliding and rolling friction coefficients) so this

164 study adopted previously reported sliding frictions for sand and rubber materials (Patil et al.
165 2010). The rolling friction coefficient was determined from the repose angle accordingly.

166 The experiments used granular sand and rubber materials. Both of the materials were
167 sieved between 1.18 mm to 2.36 mm to obtain the same-sized material, because it might have
168 induced significant differences in both the repose angle and the segregation. The mixture was
169 firstly mixed homogeneously and placed in a funnel with a bottom diameter of 15 mm. A
170 bottom plate was removed to allow the particles to drop by force of gravity. The experiment
171 was performed over a glass base, and the distance from the bottom cone to the base was 60
172 mm. The schematic drawing is shown in Figure 6 (a). Tests were performed for different
173 materials: sand, rubber, and sand–rubber mixture where the two ingredients were equal in
174 volume. The mixture test was conducted to confirm the individual ingredient test results.
175 Each measurement was repeated three times, recording the height and diameter at two
176 directions so that the angle of repose could be determined.

177 The granular frictional properties are calibrated by using the DEM simulation. The
178 small-scale material pile (Figure 6 (b)) is meaning in respect to the simulations. Firstly, a
179 small number of particles require less time to attain computation stabilization. Also, owing to
180 the granules to be displaced from the funnel, a large pile may induce broader spreads which
181 also require a longer period of processing time. In addition, the pile is significantly larger in
182 scale than the greatest particle size. The pile formation is not subject to a major size effect
183 and the pile dimension satisfies the segregation purpose.

184 To simulate the shape parameter of the material granules, despite making clumps of
185 the basic shapes of 2D disks or 3D spheres (Indraratna et al. 2012; Chen et al. 2014; Falagush
186 et al. 2015), a rolling resistance behavior at contact could be introduced as suggested by Ai
187 (2010). It has shown great advantages in simulating a stable pile with a finite angle (Zhou et

188 al. 1999; Yang et al. 2000; Zhou et al. 2001). The same technique is used in this simulation.
189 Similar to the Mohr–Coulomb friction theory, the rolling resistance model imposes a granular
190 torque by introducing a rolling friction coefficient f_r . A study of rolling resistance model can
191 be found in Ai (2010).

192 A calibration process is required to determine the rolling friction coefficient, because
193 very limited research has been focused on the rolling behavior of rubber and sand. The funnel
194 was made by assembling wall plates as two cones, as shown in Figure 6 (b). More than
195 12,000 spheres particles were used and were first stabilized in the funnel by use of gravity.
196 This was achieved in the simulation by allowing a long simulation time so that the particles’
197 velocity was reduced almost to zero. The bottom plate was removed before particles settled
198 on the base. The input micromechanical parameters are listed in Table 1.

199 The repose angle cannot be directly measured from the numerical results because
200 there might be systematic errors. For example, the topmost particle may not rest at the center,
201 which induces an inaccurate pile height. Also, as seen in Figure 7 (d), the top of the material
202 pile becomes flat, which underestimates the repose angle. Directly measuring the base
203 radiuses in two directions is also problematic because many particles are scattered. Therefore,
204 an indirect measurement method was developed. As shown in Figure 7 (d), slice the pile
205 horizontally at two elevations: one at the pile’s bottom, and the other one at 80% of its apex.
206 The 80% plane was selected to avoid the cone altitude inaccuracy. The angle was determined
207 by measuring the radius of the two slices, and the vertical distance between the slices.

208 Specifically, the centroid of the funnel is assumed to be the center of the pile bottom
209 rather than the projection of the highest particle at the top. At the chosen height, the upper
210 plane in Figure 7 (d) was used to slice the pile. A number of circles were plot, in equally
211 increasing radius, on the plane, as shown in Figure 7 (b), and were then referred to, in

212 sequence, from ID 1 to N as the radius increased. The circles were used to determine count,
213 C_1 , of the particles sitting on the circular plane, as illustrated in Figure 7 (a), as well as count,
214 C_2 , of the particles intersecting the circular periphery, as illustrated in Figure 7 (b). Define
215 sphere-intersecting frequency= C_1/C_2 . The frequency vs. the sequential circles is illustrated in
216 Figure 7 (c). The upper plane was regarded as the 14th circle because it intersects the
217 maximum number of particles. Similarly the bottom plane sat on the 43th circle. Note that
218 some particles fell outside the circle of preference, e.g., the red sphere in Figure 7 (a) and (b),
219 but intersected at the top with the cut plane. In this circumstance, the elevation and plan
220 views were combined to examine the preferred circle.

221 Based on calculations and parameters described above, the final results of repose
222 angle were obtained experimentally and numerically. The results are shown in Table 2.
223 Through iteration, the rolling friction coefficients were determined. Different coefficients
224 were determined for the sand and rubber, respectively, as shown in Table 1. Then, when they
225 were mixed at equal volume, the repose angles were examined again, enabling verification of
226 the coefficients through numerical and experimental tests. The results in Table 2 suggest
227 excellent agreement between the numerical and experimental tests. Specifically, for the sand
228 heap, the repose angle is 31.1 ° in the experiment and 31.4 ° in the simulation. Similarly
229 excellent agreement is obtained for the rubber heap and rubber sand mixture heap, verifying
230 the validity of the particle frictions of forming the heaps. At this stage, each single micro
231 parameter has been determined so that digital image processing could be performed.

232 As a simulation result, it is noted that different groups of material stiffness were used
233 in the simulation but it has negligible impact on the repose angle. Owing to the fact that
234 gravity is the only force considered, the load transmission is negligible at particle contact, so
235 that the impact on the material behavior is minor. The change of material stiffness may have
236 negligible influence to granular behavior for some particular cases. For example, Chung

237 (2006) studied rod penetration and identified that scaling inter-particle contact stiffness did
238 not show any significant variations on the simulation results, but provided considerable
239 simulation efficiency. It was concluded that the main reason was that reducing stiffness has
240 only minor effects on load transmission onto the boundary surfaces. Ai (2010) illustrated the
241 same finding for stiffness scaling, but argued that if the stiffness is scaled too low, it may
242 result in unstable behavior for a granular pile. This specified methodology was also adopted
243 by Shi et al. (2007) because it has no essential effect on flow mechanics.

244 **Segregation Observation**

245 Segregation was observed in both the numerical simulation and the experimental test. Figure
246 8 (a) and (b) show material piles in elevation view from the experimental and numerical
247 studies, respectively. The rubber and sand beads are represented as green and blue spheres
248 respectively in the numerical simulations. In addition to the similarity in the repose angle, it
249 is also clear that the pile surfaces are mostly covered by rubber material. A similar surface
250 covering can be seen in the plan view as well (Figure 8 (c)) and (d)), demonstrating
251 verification of the numerical results. Further quantitative comparison is provided in the
252 subsequent sections.

253 To gain insight into the inner material distribution, the material piles were sliced
254 horizontally at its mid-height, removing the respective top cone and exposing the heap core.
255 The mid-height core was assumed of representing the particle distribution inside the heaps.
256 The particles on the core were examined. For both the test heap and the simulation heap, the
257 majority of sands stayed in the central area (Figure 8 (e) and (f)). Close agreement exists
258 between the experimental and numerical results in respect to particles distribution on both the
259 heap surface and inner core. Again, this agreement is subject to further quantitative
260 comparison which is accomplished through the digital image processing as follows.

261 **Digital Image Processing**

262 One of the main objectives of this research was to present a measurement method that could
263 be used to quantify the segregation obtained from the experiment and numerical simulation.
264 Despite other method that has been proposed to quantify the segregation, there is a size
265 difference in the mixture. A more general method was developed based on visual comparison
266 between numerical and experimental results (Shi et al. 2007). As an improvement of visual
267 comparison, this can be quantitatively measured by using the digital image processing (DIP)
268 method, which has been applied in many fields, such as identifying soil features (Aydemir et
269 al. 2004; Manahiloh et al. 2016), diagnosing soil–rock mesostructure (Kemeny et al. 1993;
270 Villeneuve et al. 2011), analyzing coarse aggregate shape and size (Yue and Morin 1996;
271 Altuhafi et al. 2013), and measuring saturation degree (Yoshimoto et al. 2011). In this paper,
272 as size effect is not the primary consideration, the DIP method was adopted to quantify and
273 compare material segregation between the numerical simulation and experimental results.
274 Based on the literature review conducted in this study, it is the first time of such comparison
275 has been conducted in rubber–sand segregation testing.

276 DIP method refers to the process of converting a picture into a digital form, and then
277 analyzing the digital image to acquire the useful, underlying information. In the analysis, a
278 picture is represented by a number of pixels. Each pixel is a combination of primary colors. A
279 standard digital picture often uses the red (R), green (G) and blue (B) channels which can be
280 perceived by human eyes and used in simple computer displays. The information extracted
281 from a digital picture can be expressed as a discrete function on a $(N \times M)$ grid, known as an
282 intensity matrix in the Cartesian coordinate system (Yue and Morin 1996):

$$I_k = \begin{bmatrix} I_k(1,1) & I_k(1,2) & \cdots & I_k(1,M) \\ I_k(2,1) & I_k(2,2) & \cdots & I_k(2,M) \\ \vdots & \vdots & & \vdots \\ I_k(N,1) & I_k(N,2) & \cdots & I_k(N,M) \end{bmatrix} \quad (3)$$

283 where I is a value often refers to the intensity level of a digital image ranging from 1 to 255; k
284 =1 to 3, representing red, green and blue channels, respectively; therefore there are three
285 separate matrixes for an image. The I value extraction process is accomplished by MATLAB
286 which is equipped to read color channel information. The present paper briefly illustrates the
287 method for a colored image analysis in the next section. As the sample heap was formed on a
288 glass plane, and the glass background color was similar to the color of the sample, it was not
289 easy to find the color difference between sand and the background, and rubber and the
290 background. Some pre-treatment was required to change the background color. It was chosen
291 to substitute a blue background for the glass background so that it is easier to select the
292 threshold value for further analysis. Figure 9 (a) was converted from Figure 8 (c) by changing
293 the background color. For convenience, some particles scattered on the glass base were
294 excluded because the amount of these particles are negligible compared to the total granular
295 number.

296 The threshold value was obtained by processing the pixels of an image. However a
297 high resolution image consists of a large number of pixels (> 15 million). Distinguishing
298 color differences directly from the original picture requires long processing time as a result.
299 For simplification in the detailed analysis, a small-sized picture was extracted as an example
300 so that image processing could be performed. Figure 9 (b) picked up a small region of
301 35×43 pixels, which contains all important elements of the image.

302 After selecting the small example image as shown in Figure 9 (b), a detailed analysis
303 was conducted to find threshold values between color regions. MATLAB was used to read

304 individual pixels into I_1 for red, I_2 for green, and I_3 for blue. However, the three values cannot
 305 be directly used to map the regions. A solution is to use an HSI system to identify the
 306 materials more easily (Chen et al. 2004). The HSI stands for *hue*, *saturation* and *intensity*.
 307 According to Chen et al. (2004), this solution combines the above three intensity values based
 308 on appropriate weighting, yielding a weighted intensity value, I_w . According to NTSC
 309 standard for luminance (IBM 1990), I_w is calculated using the following algorithm:

$$I_w = \frac{0.2989 \times I_1 + 0.5870 \times I_2 + 0.1140 \times I_3}{255} \quad (4)$$

310 where I_w has an interval of [0, 1]. This I_w is also known as grey level intensity in MATLAB,
 311 enabling a bi-color image. Based on the I_w values, contours are drawn for the small example
 312 image, as shown in Figure 10 (a). Figure 10 (a) clearly identifies the color boundaries of
 313 different materials, particularly when compared to the original image (Figure 9 (b)). However,
 314 given there may be multiple intensity threshold values, such as between sand and rubber,
 315 between sand and the background and between rubber and the background, it was not
 316 guaranteed that all color differences have been distinguished. Since the background intensity
 317 is a value in between the values of both sand and rubber, the background regions need to be
 318 excluded before calculating the image intensity.

319 Recall the pre-treatment that the background has been pre-dyed to blue; it is easy to
 320 find that these regions because they have very high I_3 values (for blue channel). In this study,
 321 the background part was identified by searching $I_3 > 245$ and assigning a very high constant,
 322 such as 10,000. Using Eq. (4), the background intensity has a value $I_w > 1$ while the other
 323 parts are not affected. In this way, the background is excluded and the only intensity
 324 threshold value will be the one between sand and rubber. Based on a trial-and-error method
 325 suggested by Chen et al. (2004), a threshold value $I_w = 0.35$ was taken to be the boundary
 326 between the partition sand and rubber after comparing multiple values. To yield a clear

327 definition of regions, the pixels with $I_w < 0.35$ were reassigned as a value of 0 (i.e., rubber
328 particles), otherwise a value of 1 (i.e., sand particles). Figure 10 (b) illustrates the intensity
329 contours using the values of 0 and 1. Due to noise influence, such as light intensity, the
330 detection results may not be perfectly correct. However, by comparing Figure 10 (a), (b) and
331 Figure 9 (b), it is believed that $I_w = 0.35$ represents the color boundary between sand and
332 rubber particles and can be applied to the rest part of the image in Figure 9 (a).

333 **RESULTS AND DISCUSSION**

334 This section presents the results from a comparison of the experimental and numerical results
335 for the present study. The material volume ratio can be expressed as the ratio of an area of
336 color based on the intensity threshold outlined earlier. As segregation varies significantly
337 between the inside area and the pile surface, the comparison was made after removing the
338 pile cap, as shown in Figure 8 (e).

339 **Segregation Ratio**

340 Digital image processing is further applied here to calculate the area ratio of different colors.
341 Figure 8 (e) is separated as a peripheral ring and central circle so as to directly compare
342 segregation outside and inside the pile. The comparison between the experiments and
343 simulations is shown in from Figure 11 (a) to Figure 14 (a).

344 In the test, the radius of the central circle is half of the bottom of the material heap. It
345 is noted that in the numerical analysis, the image has already been presented as basic RGB
346 colors which saves the intensity threshold value selection. The RGB colors represent the three
347 primary colors of red, green and blue. Each pixel of a digital image can be made by the
348 combinations of these primary colors. The calculation of the concentration of sand particles
349 was based on color segmentation, shown in Figure 11 (b) to 14 (b). These figures present
350 grey images obtained using the aforementioned DIP method. In the experiments, the

351 percentage of sand as calculated from a color area in the peripheral ring and the central circle
352 were 32.09% and 69.86%, respectively. While the numerical result showed that blue particles
353 which represent as sand at peripheral ring and central circle are 39.09% and 66.00%,
354 respectively. Excellent agreement is obtained between the test and numerical results. The
355 agreement is supposed to be valid for the rest parts of the heaps, given the heap surface and
356 the core represent the outer and inner particle distribution profiles. The quantitative
357 comparison based on the DIP results shows a close predication of numerical simulation. This
358 comparison is more convincing than visual comparison used in previous studies. Comparing
359 the segregation in both numerical and experimental results also showed that the chosen
360 material properties (i.e., friction, material rolling friction, and damping coefficient) matched
361 the actual material properties. It is suggested that segregation tests can be used as a useful
362 calibration method.

363 **Parametric Study**

364 Due to many input parameters, it is not clear that which parameter had a critical influence on
365 particle segregation. It is necessary to evaluate the impact of each parameter with other
366 parameters unchanged. Table 3 lists possible input values for parameters that potentially
367 affect the segregation. Of the parameters, the rolling and sliding friction coefficients
368 determine the particle surface roughness. Five mixtures are defined, each composed of two
369 materials, *A* and *B*, in equal volume. Again, the mixture ingredients are assumed to be similar
370 in size so that size difference is not considered. In each study, only one parameter was
371 changed while the others remain the same. For example, in case 1, the density for the two
372 ingredients is 2,600 kg/m³ and 1,300 kg/m³ respectively while other parameters such as
373 damping ratio or stiffness etc. remain the same, as listed in Table 3. The input values reflect
374 the normal range of materials used as geomaterial ingredients.

375 The five cases were subjected to the segregation test. The test is similar in process to
376 the aforementioned segregation tests, including forming pile through the funnel, slicing the
377 pile at the mid height to compare the inner core and the outer ring. To assess the segregation,
378 define segregation coefficient, C_s , as suggested by Williams (1976):

$$C_s = \frac{W_I - W_O}{W_I + W_O} \times 100\% \quad (5)$$

379 where W_I is the volumetric proportion of material A in the inner core while W_O is the
380 volumetric proportion of material A in the outer ring. Where there is no or negligible
381 segregation, C_s is equal or close to zero, and *vice versa*. The results are provided in Figure 15.
382 It is clear that case 1 stands out, with $C_s=17.97\%$ of suggesting the material density governs
383 the segregation. The friction coefficients (or surface roughness) however do marginal effect
384 on the segregation which agrees with results by Pohlman et al. (2006).

385 Even though the friction coefficients alone do not cause segregation of the material, it
386 has a certain effect on the mixture once there is already a density difference in the mixture.
387 To examine this density–friction combined effect, a new comparison was made between the
388 mixture density ratios ρ_A / ρ_B which increase from 1 to 5, according to different sliding
389 friction values $f_s = 0.3, 0.4$ and 0.5 , respectively. The results are provided in Figure 16. For
390 each case, the segregation coefficient C_s increases with the density ratio. This relationship
391 changes if the material surface roughness increases. The rougher the material surface is, the
392 less likely segregation will happen. Similar findings was observed by Lai et al. (1997) that
393 frictional properties sometimes dominate material segregation such as in the event of long
394 range transport. For the funnel discharge in the current study, the density–friction correlation
395 might be explained as follows: when the surface roughness increases, the mobility of the
396 mixture is affected so that flowing from the funnel requires more kinetic energy and material

397 granules tend to move as a whole. Consequently the mixtures are more difficult to be
398 separated during flow.

399 **CONCLUSIONS**

400 This study presented a DIP method used to examine material segregation based on material
401 color difference. The comparison between the DEM simulation and experiments suggests that
402 DIP could be used as a useful method enabling verification between the DEM and test results.

403 Material rolling friction and damping ratio for sand and rubber were calibrated by the
404 repose angle and re-bouncing tests, respectively. The parameter values were incorporated into
405 the DEM model for the parametric study. For a uniform mixture, from a microscopic
406 perspective, the density difference had most significant impact to the segregation during the
407 funnel discharge. Other contact properties such as material stiffness, surface roughness or
408 damping ratio had minor to negligible impact. The higher the density difference is, the
409 noticeable the segregation will be. When the segregation needs to be controlled, the material
410 density difference should be considered. However, the density-induced segregation can be
411 offset by the inter-particle friction. The higher the frictional properties are assigned, the less
412 likely the segregation will occur.

413 **ACKNOWLEDGEMENT**

414 This research was funded by the Australian Government through the Australian Research
415 Council.

416 **NOTATIONS**

417 C_1 count of the particles sitting on a cutting plane
418 C_2 count of the particles intersecting a circular periphery
419 C_s segregation coefficient
420 d_r diameter of rubber particle

421	d_s	diameter of sand particle
422	E	effective modulus
423	E_r	effective modulus of rubber particle
424	E_s	effective modulus of sand particle
425	f_r	rolling friction
426	f_s	sliding friction
427	$f_{r,r}$	rolling friction of rubber particle
428	$f_{r,s}$	rolling friction of sand particle
429	$f_{s,r}$	sliding friction of rubber particle
430	$f_{s,s}$	sliding friction of sand particle
431	f_w	particle–wall friction
432	G	shear modulus
433	h	bead rebound height
434	H	bead drop height
435	I	colour channel intensity
436	I_1	red channel intensity
437	I_2	green channel intensity
438	I_3	blue channel intensity
439	I_w	grey level intensity
440	k_w	particle–wall stiffness
441	r	bead radius
442	W_I	volumetric proportion of material in the inner circle
443	W_O	volumetric proportion of material in the peripheral ring
444	α	restitution coefficient
445	ζ	damping ratio

446	ζ_r	damping ratio of rubber particle
447	ζ_s	damping ratio of sand particle
448	ν	Poisson's ratio
449	ρ	density
450	ρ_r	density of rubber particle
451	ρ_s	density of sand particle

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567

568 **Figure Captions List**

569 Figure 1 Schematic of DEM model.

570 Figure 2 Rubber and silica beads used in the damping ratio calibration.

571 Figure 3 Maximum restitutive height captured by high resolution camera for silica bead and
572 rubber bead.

573 Figure 4 Restitution height for silica and rubber beads.

574 Figure 5 The relationship between the damping coefficient and the restitution coefficient with
575 various material properties.

576 Figure 6 Repose angle test setup: (a) experimental schematic drawing, and (b) numerical
577 simulation.

578 Figure 7 The numerical measurement of the repose angle: (a) elevation view (not to scale), (b)
579 plan view (not to scale), (c) frequency of particles intersecting the periphery, and (d) sample
580 pile.

581 Figure 8 Segregation of mixture pile.

582 Figure 9 Calibration of the digital image: (a) sample pile, and (b) an example image.

583 Figure 10 Intensity contours expressed as: (a) the color map, and (b) the binary map.

584 Figure 11 Color segmentation of sand pile at peripheral ring (experiment).

585 Figure 12 Color segmentation of sand pile at central circle (experiment).

586 Figure 13 Color segmentation of sand pile at peripheral ring (numerical).

587 Figure 14 Color segmentation of sand pile at central circle (numerical).

588 Figure 15 Segregation coefficient for varying mixtures.

589 Figure 16 Segregation coefficient vs. mixture density ratio under different frictions.

590

591 **List of Tables**

592 Table 1. Input parameters used in simulation.

Parameter	Value
Diameter of sand particle, d_s , mm	1.54 – 2
Diameter of rubber particle, d_r , mm	1.54 – 2
Density of sand particle, ρ_s , kg/m ³	2,600
Density of rubber particle, ρ_r , kg/m ³	1,300
Sliding friction of sand particle, $f_{s,s}$ *	0.31
Sliding friction of rubber particle, $f_{s,r}$ *	0.6
Rolling friction of sand particle, $f_{r,s}$ #	0.7
Rolling friction of rubber particle, $f_{r,r}$ #	0.6
Effective modulus of sand particle, E_s , Pa	1×10^7
Effective modulus of rubber particle, E_r , Pa	1×10^5
Particle – wall friction, f_w	0.405
Particle – wall stiffness, k_w	1×10^6
Damping ratio of sand particle, ζ_s #	0.63
Damping ratio of rubber particle, ζ_r #	0.22

593 * data from Patil et al. (2010); # data from calibration.

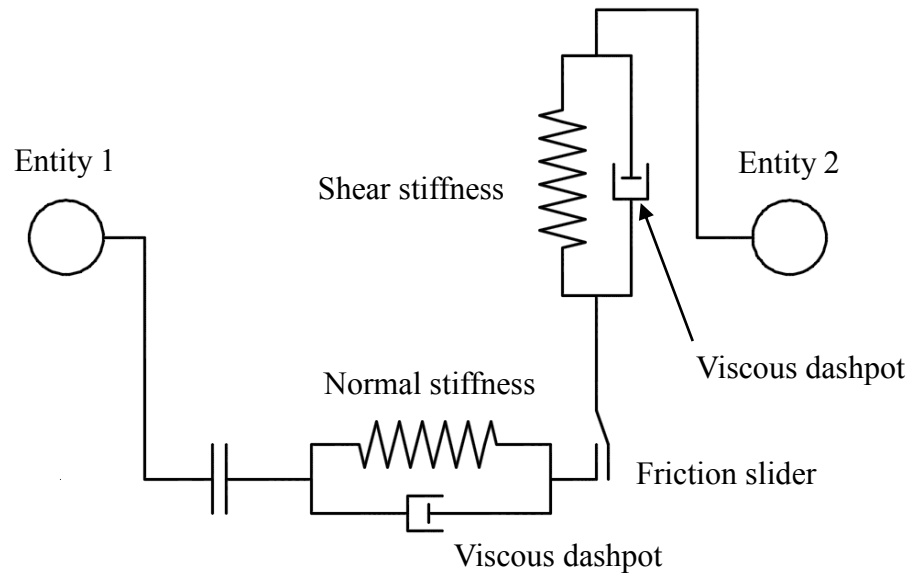
594

Table 2 Measurement of repose angle.

Sample	Experiment					Simulation		
	Test	Height (mm)	Diameter (mm)			Angle (°)	Average	
			X	Y	Average		angle (°)	Angle (°)
Sand bead	1	28	88	92	90	31.9		
	2	34.5	118	117	117.5	30.4	31.1	31.4
	3	35	112	120	116	31.1		
Rubber bead	1	39	103	105	104	36.9		
	2	40	108	108	108	36.5	36.3	36.5
	3	34	95	96	95.5	35.5		
Mixture	1	39	108	106	107	36.1		
	2	34	100	102	101	34.0	35.0	34.8
	3	35	101	100	100.5	34.9		

Table 3 Material properties used in the parametric study.

Case	Ingredient	Density (kg/m ³)	Damping ratio	Stiffness (kPa)	Rolling friction coefficient	Sliding friction coefficient
Case 1	<i>A</i>	2,600	0.2	1×10 ⁵	0.6	0.3
	<i>B</i>	1,300	0.2	1×10 ⁵	0.6	0.3
Case 2	<i>A</i>	1,300	0.2	1×10 ⁵	0.6	0.3
	<i>B</i>	1,300	0.4	1×10 ⁵	0.6	0.3
Case 3	<i>A</i>	1,300	0.2	1×10 ⁷	0.6	0.3
	<i>B</i>	1,300	0.2	1×10 ⁵	0.6	0.3
Case 4	<i>A</i>	1,300	0.2	1×10 ⁵	0.3	0.3
	<i>B</i>	1,300	0.2	1×10 ⁵	0.6	0.3
Case 5	<i>A</i>	1,300	0.2	1×10 ⁵	0.6	0.3
	<i>B</i>	1,300	0.2	1×10 ⁵	0.6	0.6



Rubber beads

Silica beads



10 mm



