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A. B. Sturm, P. Visintin and D. J. Oehlers

Design-oriented solutions for the shear capacity of reinforced concrete beams with and without fibers

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1 **DESIGN ORIENTED SOLUTIONS FOR THE SHEAR CAPACITY OF**
2 **REINFORCED CONCRETE BEAMS WITH AND WITHOUT FIBRES**

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4 ¹Sturm, A.B., ²Visintin, P. and ³Oehlers, D.J.

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7
8 **ABSTRACT**

9 The inclusion of fibres substantially improves the shear resistance of reinforced concrete
10 beams. Fibres can, therefore, be used as a partial or full substitute for traditional transverse
11 reinforcement. Before replacement of traditional reinforcement with fibres can be undertaken,
12 reliable expressions which incorporate the effect of fibres are required. In a previous study, a
13 mechanics approach based on quantifying the pre-sliding shear capacity of fibre reinforced
14 concrete beams was developed and broadly validated and compared to existing design
15 approaches. While accurate, the numerical solution is too complicated for routine design and
16 hence, in this paper, simplified solutions are developed. This is achieved by: (i) approximating
17 the neutral axis depth at the initiation of shear failure, (ii) developing a closed-form solution
18 for the angle of the critical diagonal shear crack, removing the need to iterate, and (iii)
19 incorporating a simple approach to estimate the stress in the fibres crossing cracks, removing
20 the need to integrate fibre stresses over a range of crack widths. To validate the simplified
21 solutions, they are used to predict the capacity of tests on 626 reinforced concrete beams
22 without stirrups, 176 reinforced concrete beams with stirrups and 23 fibre reinforced concrete
23 beams. Importantly these simplified solutions largely retain the accuracy of the numerical
24 approach and show an improved fit compared to currently available solutions.

26 INTRODUCTION

27 The design of reinforced concrete members is based on the assumption that ductile flexural
28 failure always precedes brittle shear failure. As such, reliable approaches for predicting the
29 shear capacity of a member and specifying the concrete and transverse reinforcement
30 contributions to shear capacity are essential to the design process. With recent developments
31 in concrete technology, the use of fibre reinforced concrete (FRC) has progressed significantly.
32 Member level testing has identified a significant improvement in shear capacity can be
33 achieved by the addition of fibres and it has been suggested that fibre reinforcement may reduce
34 or entirely replace traditional transverse reinforcement (Casanova et al. 1997, Amin & Foster
35 2016).

36

37 To quantify the increase in shear capacity arising from fibre addition and, therefore, allow for
38 the increased capacity to be considered in design practice, the Australian standard
39 AS3600:2018 (Standards Australia 2018) includes expressions for quantifying the shear
40 capacity of FRC members. In this approach: simplified modified compression field theory is
41 applied to predict the concrete contribution to the shear capacity; a traditional truss model is
42 used to determine the steel contribution; and a constant stress in the fibres is used to simulate
43 the fibre contribution. Additional empirical factors are included to account for fibre orientation
44 and size effect on the tensile stress in the fibres. The primary criticism here is that the model is
45 based on simplified modified compression field theory. This approach assumes that aggregate
46 interlock is the primary contributor to the shear capacity, and that the loss of aggregate interlock
47 causes shear failure. In contrast to modified compression theory it has been suggested,
48 including in the approach developed here, that the primary contributor to the shear capacity is
49 the uncracked concrete above the crack tip (Volgyi & Windisch 2017; Donmez et al. 2020).
50 The fib Model Code 2010 (fib 2012) also includes an expression to determine the shear capacity

51 which considers the concrete and fibre contribution together based on the expression for shear
52 capacity in the Eurocode 2 (CEN 2004). This expression is empirical in nature, hence difficult
53 to extend to new materials. The fib Model Code 2010 (fib 2012) also outlines an alternative
54 approach in the commentary based on simplified modified compression field theory similar to
55 the approach in AS3600:2018 and therefore has similar issues. The Association Francaise de
56 Genie Civil (AFGC 2013) has developed shear capacity expressions for ultra-high performance
57 fibre reinforced concrete beams. In this approach, the shear capacity is increased by the vertical
58 component of the force in the fibres; the stress in the fibres is taken as the average stress when
59 the flexural strength is achieved and the force in the fibres is assumed to be perpendicular to
60 the principal compressive stress. The concrete component of the shear capacity is also
61 empirical hence difficult to extend to new materials. This is recognised as an additional
62 capacity reduction factor is provided as the equation is extrapolated from that used for high
63 strength concrete.

64

65 A mechanics based approach is desirable as it is difficult to extend empirical approaches
66 outside the bounds from which they were calibrated (Lansoght 2019) hence a survey of
67 mechanical approaches is also provided. These include Voo et al. (2006) which assumes a
68 plastic distributions of stress in tension and compression along critical diagonal shear crack to
69 determine the shear capacity. The primary issue with this approach is that it relies on the
70 definition of effectiveness factors that would need to be calibrated for each new material.

71

72 The approach by Choi et al. (2007) calculates the concrete contribution to the shear capacity as
73 a function of the shear force required to crack the flexural compression region and the fibre
74 contribution as a function of a constant stress imposed on an inclined crack. This approach is
75 based on a similar mechanism to Zhang et al. (2016a,b), where failure is controlled by the

76 shear-compression failure of the flexural compression region. Unlike Zhang's approach, Choi
77 et al. (2007) assumes a fixed 45° crack angle in the flexural tension region whereas in reality
78 this can vary and the contribution of the fibres is determined based on single fibre pullout
79 results. Single fibre pullout data is not always available and hence a model that uses the tensile
80 properties obtained at the material scale is preferable because both AS3600:2018 (Standards
81 Australia 2018) and the fib Model Code 2010 (fib 2012) consider either direct or indirect testing
82 of the tensile material properties to be essential for characterising the material behaviour of
83 FRC. A further limitation of the Choi's approach is that it requires iteration to determine the
84 neutral axis depth and top fibre strains, but for a design approach it is desirable that iteration
85 be avoided if possible.

86

87 Lee et al. (2016) suggested an approach in which the shear demand and capacity attributed to
88 the compression zone and tension zone is determined and shear failure occurs when demands
89 exceed the capacity in either region. This model attempts to combine the approaches which
90 propose a shear failure mechanism of aggregate interlock in the flexural tension region and
91 shear compression failure in the flexural compression region by attributing a proportion of the
92 shear resistance to each mechanism. The primary issue in this approach is that it has been
93 argued that the shear-compression failure is the dominant mechanism, for example see Volgyi
94 & Windisch (2017) and Donmez et al. (2020) and hence aggregate interlock has minor
95 influence on the shear capacity.

96

97 Zhang et al. (2016c) suggested an approach based on simplified modified compression field
98 theory, in which the stress in the fibres is determined as a function of the bond strength of a
99 single fibre obtained from single fibre pullout tests. The same criticisms exist here as for the
100 previous modified compression field theory based approaches. In addition to these issues, the

101 need for single fibre pullout tests rather than either direct or indirect tension tests results
102 complicates the testing required to implement the model.

103

104 Foster & Barros (2018) also adapted simplified modified compression field theory where the
105 contribution of the fibres is determined by considering the pullout of a single fibre where the
106 inclination angle of the individual fibres with respect to the crack was considered. The same
107 criticisms hold as for Zhang et al. (2016c). In addition this model requires data on the pullout
108 of fibres at a range of angles to the crack face. This adds further complication to the testing to
109 implement the model.

110

111 In response to criticisms of existing shear design approaches a new model to quantify the shear
112 strength of FRC where the resistance of the flexural compression region is determined from
113 the shear friction material properties was proposed by Sturm et al. (2020), with this approach
114 being consistent with that developed by Zhang et al. (2016a,b) for ordinary reinforced concrete
115 beams with steel or FRP reinforcement. When compared to existing methods (Voo et al. 2006;
116 Choi et al. 2007; Lee et al. 2016; Zhang et al. 2016c; Foster & Barros 2018) for quantifying
117 the shear strength of 29 FRC beams in which all the material properties were known, Sturm's
118 was found to have the best precision and accuracy.

119

120 In the approach of Zhang et al. (2016a,b) the equilibrium of forces is considered to determine
121 the sliding force along a critical diagonal shear crack and shear friction theory is applied to
122 determine the capacity of this shear crack to resist sliding. When this sliding force exceeds the
123 sliding capacity then shear failure occurs and the shear capacity is obtained. Sturm et al. (2020)
124 extended this model to include fibres by including a force perpendicular to the shear crack
125 which is a function of the crack width. The primary issue with this approach was that the

126 numerical implementation was too complex for use in routine design. Hence, in this paper this
127 approach is simplified to produce closed-form solutions where these simplifications represent
128 the novelty in this paper. The resulting solutions are in fact simpler than the current Australian
129 standard as it does not require iteration to determine the longitudinal strain at midspan. This is
130 achieved by approximating the neutral axis depth with the flexural neutral axis depth which is
131 then given by a quadratic equation. This also simplifies the equilibrium equations forming a
132 system of linear simultaneous equations which allowed a simple solution to be derived for the
133 concrete contribution to the shear capacity. The stress in the fibres was also chosen to
134 correspond to the crack width at the effective depth at yield as this provides a simple approach
135 to estimate this value without integrating across a range of crack widths. A closed form solution
136 was also developed for the shear angle which replaces the semi-mechanical expression in
137 Zhang et al. (2016a,b).

138

139 The simplified design expressions are validated and compared to existing approaches using
140 tests on 626 reinforced concrete beams without stirrups, 176 reinforced concrete beams with
141 stirrups and 23 FRC beams. From this, the reliability of the proposed expressions was explored.
142 This is important since these expressions give the mean shear strength, however in design, the
143 characteristic shear strength is required. Hence, factors were derived that could be used in
144 conjunction with these expressions to give the characteristic shear strength.

145

146 **SHEAR CAPACITY OF FIBRE REINFORCED CONCRETE BEAMS**

147 First consider the fundamental mechanics of Sturm et al.'s (2020) model as illustrated in Fig.
148 1(a) where the forces on the free body on the right hand side A-B-C-D are shown. As the shear
149 force V_u increases, flexural cracks form in the flexural tension region at the bottom face and
150 propagate towards the load point. While tests have shown these cracks to follow a non-linear

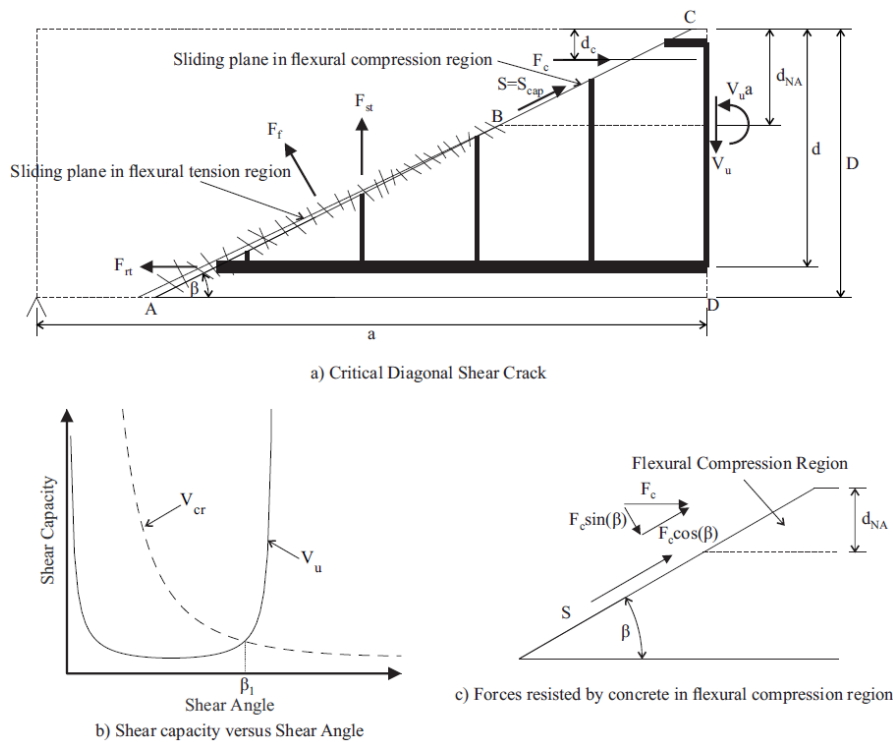
151 path, a simplification is applied here in which the non-linear crack is replaced with an
 152 equivalent diagonal crack A-B with an angle of β to the horizontal as shown. This
 153 simplification is valid as demonstrated by Zhang (1997), Huang & Nielsen (1998), Zhang et
 154 al. (2016a;b) and Sturm et al. (2020).

155

156 As rotation occurs about this critical shear crack A-B in Fig. 1(a), forces develop in the: tensile
 157 reinforcement F_{rt} ; compressive concrete F_c ; fibres F_f ; and in the stirrups F_{st} . In line with the
 158 simplifying assumption of Placas & Regan (1971), the compression reinforcement is ignored.

159 In order to maintain equilibrium with the imposed shear force and moment, a force S also
 160 occurs along the inclined plane as shown. This sliding force S is resisted along B-C by the
 161 concrete in compression and shear failure is considered to occur at the point in which the sliding
 162 force S exceeds the shear capacity S_{cap} of the potential sliding plane B-C, at which point a
 163 fracture plane extends through the flexural compression region along B-C.

164



165

166

Fig. 1 Mechanics of Shear Failure

167

168

169 The shear stress at the initiation of sliding that is the material shear capacity v can be derived
170 from shear friction theory (Regan & Yu 1973) such that

$$171 \quad v = m\sigma_N + c \quad (1)$$

172 where: σ_N is the normal stress which is a function of F_c in Fig. 1(a); m is the frictional
173 component of the shear strength; and c is the cohesion.

174

175 The shear strength of the potential sliding plane S_{cap} can be determined by integrating v over
176 this plane in the flexural compression region. Importantly in this approach, the shear capacity
177 is taken as the capacity just prior to the sliding plane extending into the flexural compression
178 region, that is just prior to sliding in the flexural compression region. As once sliding occurs,
179 the material shear capacity reduces (Chen et al. 2015) when σ_N remains the same. Hence, this
180 paper will take the shear capacity as equal to the pre-sliding capacity as this is equal to or a
181 lower bound to the actual shear capacity. This same approach has been adopted by Zhang et al.
182 (2016a;b) and Sturm et al. (2020) where accurate predictions were obtained.

183

184 From a numerical analysis (Zhang et al. 2016a; Sturm et al. 2020), it can be shown that the
185 shear capacity V_u , through failure along A-B-C in Fig. 1(a), varies with the inclination of the
186 sliding plane β as shown in Fig. 1(b) (Sturm et al. 2020). However, this failure mode can only
187 occur after the sliding plane A-B in Fig. 1(a) has formed. The shear load to form the sliding
188 plane A-B in Fig. 1(a) has been defined by Zhang (1997) as

$$189 \quad V_{cr} = \frac{f_{ct}^* b D^2}{a \sin^2(\beta)} \quad (2)$$

190 in which: f_{ct}^* is the effective tensile strength which is equal to $0.6f_{ct}$ where f_{ct} is the concrete
191 tensile strength (Zhang 1997); b is the width of the section; D is the total depth; and a is the
192 shear span.

193

194 Consider the variations V_{cr} and V_u in Fig. 1(b). To the right of β_l , V_u exceeds V_{cr} such that the
195 sliding plane forms at V_{cr} before failure at an increased load V_u . To the left of β_l , V_{cr} exceeds
196 V_u such that the sliding plane fails at V_{cr} as the strength then reduces to V_u . Hence the intercept
197 at β_l governs the ultimate strength.

198

199 Having now defined the general mechanics of the approach, now let us consider the
200 mathematical formulation. From vertical equilibrium of the forces illustrated in Fig. 1(a)

$$201 \quad V_u = S_{cap} \sin(\beta) + F_{st} + F_f \cos(\beta) \quad (3)$$

202 where F_{st} is the force in the stirrups and F_f is the force in the fibres. For convenience in design,
203 Eq. (3) can be rewritten in the same form as AS3600:2018 (Standards Australia 2018) that is

$$204 \quad V_u = V_{uc} + V_{us} + V_{uf} \quad (4)$$

205 in which the contribution of the concrete to the shear capacity is

$$206 \quad V_{uc} = S_{cap} \sin(\beta) \quad (5)$$

207 the contribution of the stirrups to the shear capacity is

$$208 \quad V_{us} = F_{st} \quad (6)$$

209 and the contribution of the fibres to the shear capacity is

$$210 \quad V_{uf} = F_f \cos(\beta) \quad (7)$$

211

212 *Concrete contribution to the shear capacity*

213 The concrete contribution to the shear capacity uses the closed form expression derived by
 214 Zhang et al. (2016a) for the shear capacity of reinforced concrete beams without stirrups. From
 215 horizontal, vertical and rotational equilibrium

$$216 \quad 0 = F_{rt} - F_c - S_{cap} \cos(\beta) \quad (8)$$

$$217 \quad V_{uc} = S_{cap} \sin(\beta) \quad (9)$$

$$218 \quad V_{uc} a = F_{rt} d - F_c d_c \quad (10)$$

219 where the sliding capacity S_{cap} is obtained by integrating the material shear strength in Eq. (1)
 220 over the area of the sliding plane in compression. This sliding capacity is a function of the
 221 normal stress due to F_c given by

$$222 \quad \sigma_N = \frac{F_c \sin(\beta)}{\left[\frac{bd_{NA}}{\sin(\beta)} \right]} = \frac{F_c \sin^2(\beta)}{bd_{NA}} \quad (11)$$

223 where $F_c \sin(\beta)$ is the component of F_c normal to the sliding plane, whereas, $bd_{NA}/\sin(\beta)$ is the
 224 area of the sliding plane in the flexural compression region as illustrated in Fig. 1(c).

225

226 The component of F_c parallel to the sliding plane $F_c \cos(\beta)$ in Fig. 1(c) has the corresponding
 227 shear stress

$$228 \quad \tau_N = \frac{F_c \cos(\beta)}{\left[\frac{bd_{NA}}{\sin(\beta)} \right]} = \frac{F_c \sin(\beta) \cos(\beta)}{bd_{NA}} \quad (12)$$

229 Consequently, the sliding capacity is given by

$$230 \quad S_{cap} = \int^{\frac{bd_{NA}}{\sin(\beta)}} (v - \tau_N) dA = \frac{c_1 F_c + cb d_{NA}}{\sin(\beta)} \quad (13)$$

231 which is the material shear strength less the shear component of F_c .

232

233 Substituting Eq. (13) into Eq. (8) and rearranging gives the force in the longitudinal tension
 234 reinforcement as

$$235 \quad F_{rt} = F_c \left[1 + \frac{c_1}{\tan(\beta)} \right] + \frac{cb d_{NA}}{\tan(\beta)} \quad (14)$$

236 where substituting Eq. (13) into Eq. (9) then rearranging gives the force in the concrete as

$$237 \quad F_c = \frac{V_{uc} - cbd_{NA}}{C_1} \quad (15)$$

238 Substituting Eqs. (14) and (15) into Eq. (10) then rearranging gives the shear capacity as

$$239 \quad V_{uc} = \frac{cbd_{NA}}{C_2} \quad (16)$$

240 where

$$241 \quad C_2 = 1 - C_1 \frac{a - \frac{d}{\tan(\beta)}}{d - d_c} \quad (17)$$

242 in which

$$243 \quad C_1 = \sin(\beta) [m \sin(\beta) - \cos(\beta)] \quad (18)$$

244 where d is the effective depth, d_{NA} is the neutral axis depth and d_c is the lever arm of the
245 concrete.

246

247 The primary differences between the above solution and that in Sturm et al. (2020) are the
248 unknown variables when solving Eqs. (8-10). In the numerical model, the unknown variables
249 were the shear capacity V_{uc} , the rotation θ and the neutral axis depth d_{NA} . However, in the
250 solution presented here, d_{NA} is approximated using its value at flexure. This has allowed the
251 replacement of θ and d_{NA} by F_{rt} and F_c . This simplifies the solution, as the solution in Sturm et
252 al. (2020) had terms that were products of θ and d_{NA} which resulted in the neutral axis depth
253 d_{NA} having to be determined from a quartic equation for the non-iterative solution. In this case,
254 there are no terms that are products of F_{rt} and F_c , hence, Eqs. (8-10) form a system of linear
255 simultaneous equations which are straightforward to solve. This change in unknowns also
256 means that the stress-strain relationship of the concrete or the load-slip relationship of the
257 reinforcement is not required in the solution further reducing complexity.

258

259 *Neutral axis depth*

260 To solve Eq. 18, the neutral axis depth can be approximated using the flexural cracked neutral
 261 axis (Zhang et al. 2016a). For an FRC beam this is complicated by the fact the cracked neutral
 262 axis depth varies with the applied moment and is not a constant (Sturm et al. 2019). Hence, as
 263 a lower bound on the neutral axis depth the value at the yield of the longitudinal reinforcement
 264 can be used. At yield the force in the reinforcement is given by

$$265 \quad F_{rt} = E_r A_{rt} \chi (d - d_{NA}) = f_y A_{rt} \quad (19)$$

266 where E_r is the elastic modulus of the reinforcement, A_{rt} is the cross-sectional area of the tensile
 267 reinforcement, χ is the curvature, d is the effective depth, d_{NA} is the neutral axis depth and f_y is
 268 the yield strength of the reinforcement. Hence rearranging Eq. (19) gives the curvature as

$$269 \quad \chi = \frac{f_y}{E_r (d - d_{NA})} \quad (20)$$

270 The force in the fibres is then given by

$$271 \quad F_f = f_f b (D - d_{NA}) \quad (21)$$

272 where f_f is the stress in the fibres, b is the width of the section and D is the total depth. The
 273 force in the concrete is given by

$$274 \quad F_c = \frac{1}{2} b d_{NA}^2 E_c \chi \quad (22)$$

275 where E_c is the elastic modulus of the concrete.

276

277 Hence from horizontal equilibrium

$$278 \quad 0 = F_{rt} + F_f - F_c = f_y A_{rt} (d - d_{NA}) + f_f b (h - d_{NA}) (d - d_{NA}) - \frac{1}{2} b d_{NA}^2 E_c \frac{f_y}{E_r} \quad (23)$$

279 The solution to Eq. (23) is given by

$$280 \quad d_{NA} = d \left(\frac{a_2 - \sqrt{a_2^2 - 4a_1 a_3}}{2a_1} \right) \quad (24)$$

281 where

$$282 \quad a_1 = -\frac{1}{2n} + \frac{f_f}{f_y} \quad (25)$$

283
$$a_2 = \rho + \frac{f_f}{f_y} \left(1 + \frac{D}{d}\right) \quad (26)$$

284
$$a_3 = \rho + \frac{f_f D}{f_y d} \quad (27)$$

285 in which ρ is the reinforcement ratio, n is the modular ratio, f_f is the fibre stress, f_y is the yield
 286 stress and D is the total depth. Note that if f_f is set to zero the neutral axis depth for a section
 287 without fibres is obtained. The lever arm of the concrete is given by $d_{NA}/3$ (Zhang et al. 2016a).

288

289 *Shear Angle*

290 The development of a fully closed form solution for the shear angle is the primary change from
 291 that presented in Zhang et al. (2016a) which used a semi-mechanical expression based on a
 292 numerical model. A further benefit of this closed form solution is that it can incorporate new
 293 materials, whereas, the semi-mechanical expressions need to be recalibrated. From Fig. 1(b)
 294 the shear angle is given when the sliding capacity given by Eq. (16) is equal to the shear force
 295 to cause diagonal cracking given by Eq. (2). Rearranging this gives the following equation for

296 β_1

297
$$0 = 1 - \sin^2(\beta_1) \left(\frac{ma}{d-d_c} + C_3\right) + \sin(\beta_1) \cos(\beta_1) \frac{md+a}{d-d_c} - \cos^2(\beta_1) \frac{d}{d-d_c} \quad (28)$$

298 where

299
$$C_3 = \frac{cad_{NA}}{f_{ct}^* D^2} \quad (29)$$

300 Applying trigonometric identities (Olver et al. 2010)

301
$$\sin(\beta) \cos(\beta) = \frac{\tan(\beta)}{1+\tan^2(\beta)} \quad (30)$$

302
$$\sin^2(\beta) = \frac{1}{2} - \frac{1}{2} \left[\frac{1-\tan^2(\beta)}{1+\tan^2(\beta)} \right] \quad (31)$$

303
$$\cos^2(\beta) = \frac{1}{2} + \frac{1}{2} \left[\frac{1-\tan^2(\beta)}{1+\tan^2(\beta)} \right] \quad (32)$$

304 and rearranging gives

305
$$0 = b_1 \tan^2(\beta_1) + b_2 \tan(\beta_1) + b_3 \quad (33)$$

306 where

$$307 \quad b_1 = 1 - \frac{ma}{d-d_c} - C_3 \quad (34)$$

$$308 \quad b_2 = \frac{md+a}{d-d_c} \quad (35)$$

$$309 \quad b_3 = 1 - \frac{d}{d-d_c} \quad (36)$$

310 Hence the shear angle is given by

$$311 \quad \beta_1 = \arctan\left(\frac{-b_2 - \sqrt{b_2^2 - 4b_1b_3}}{2b_1}\right) \quad (37)$$

312

313 *Stirrup contribution to the shear capacity*

314 In Zhang et al. (2016b) and Sturm et al. (2020), the contribution of the stirrups to the shear
315 capacity was determined by evaluating the force in each individual stirrup as a function of the
316 vertical opening of the shear crack. This crack opening is a function of the neutral axis depth
317 d_{NA} and rotation. This approach is not applicable to our simplified solution as the rotation is
318 never determined. For the closed-form solution in Zhang et al. (2016b), this issue was mitigated
319 by relating the force in the stirrups to the force in the reinforcement. The solution, however, is
320 still not ideal for design as there is uncertainty about whether the stirrups have or have not
321 yielded. To resolve this problem, Zhang's solution required the shear capacity to be determined
322 assuming the stirrups are elastic then checking whether the stirrups should have yielded. If
323 some of the stirrups should have yielded, the shear capacity would be assessed using the correct
324 assumption. Another problem is that the exact position of the stirrups with respect to the shear
325 crack is not known.

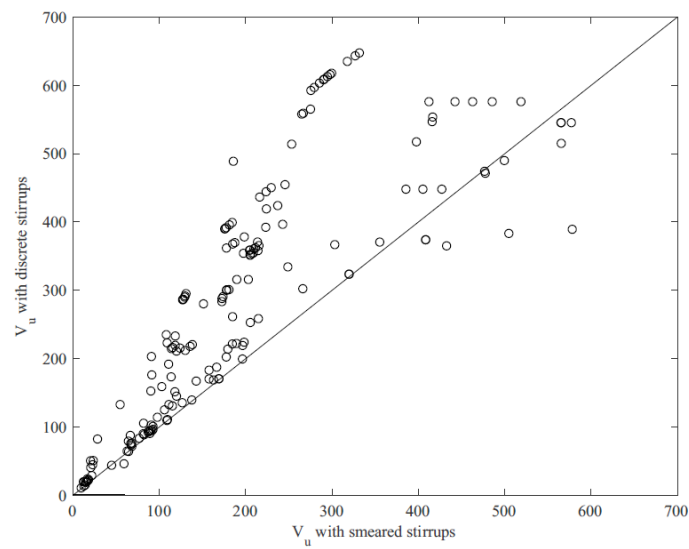
326

327 To overcome the above uncertainties and to simplify the problem, the conventional solution of
328 smeared and yielded stirrups was adopted. The force in the stirrups in Fig. 1(a) is given by

329
$$V_{us} = f_y \frac{A_{rv}}{s} \frac{d-d_{NA}}{\tan(\beta)} \quad (38)$$

330 where A_{rv} is the area of transverse reinforcement and s is the spacing. This assumption can
 331 appear to be unconservative because, as shown by the numerical analyses conducted by Zhang
 332 et al. (2016b) and the experimental work of Wu & Hu (2017), rarely are all the stirrups yielded
 333 in practice at the onset of shear failure. This is mitigated by the fact that while Eq. (42)
 334 overstates the direct contribution of the stirrups to the shear capacity, the increase in the force
 335 in the concrete F_c due to the stirrups (Zhang et al. 2016b) was not included in the derivation of
 336 V_{uc} . To determine whether this is correct, a large number of beams with stirrups based on the
 337 beams used in the ensuing validation, was analysed using both the above smeared approach
 338 and the discrete crack model presented in Zhang et al. (2016b). The results are shown in Fig.
 339 2, where it is observed that the results from the smeared and discrete approaches are generally
 340 similar. The safety of this approximation is also established in the validation.

341



342

343 Fig. 2 Comparison of smeared and discrete stirrup models

344

345 *Fibre contribution to the shear capacity*

346 The force in the fibres F_f in Fig. 1(a) is given by integrating the stress in the fibres over the area
 347 of the sliding plane that is in tension

$$348 \quad F_f = \int \frac{b(h-d_{NA})}{\sin(\beta)} \sigma_f(w) dA = f_f \frac{b(D-d_{NA})}{\sin(\beta)} \quad (39)$$

349 where $\sigma_f(w)$ is the stress in the fibres as a function of the crack width w and f_f is the average
 350 fibres stress that is constant over the depth. The resulting fibre contribution to the shear capacity
 351 is given by

$$352 \quad V_{uf} = f_f \frac{b(D-d_{NA})}{\tan(\beta)} \quad (40)$$

353 The fibre stress f_f depends on both the magnitude and variation of the crack width along the
 354 tensile region of the sliding plane, as given by the empirical tensile stress-crack width
 355 relationship which is a material property. This can be assessed either directly using tension
 356 tests or indirectly using flexural tests with an associated inverse analysis. In general, the fibre
 357 stress reduces with increasing crack width. A result of this is that the stress is maximum near
 358 the tip of the crack and a minimum at the bottom fibre. Hence to achieve a simple and
 359 conservative solution, the fibre stress is chosen to correspond to the crack width at the depth of
 360 the tensile reinforcement which is close to the bottom fibre of the section. Furthermore, to
 361 provide an upper bound to the crack width and, therefore, a lower bound to f_f , the reinforcement
 362 strain is set to the yield strain ε_y so that the crack width can be approximated as

$$363 \quad w_d = \varepsilon_y S_{cr} \quad (41)$$

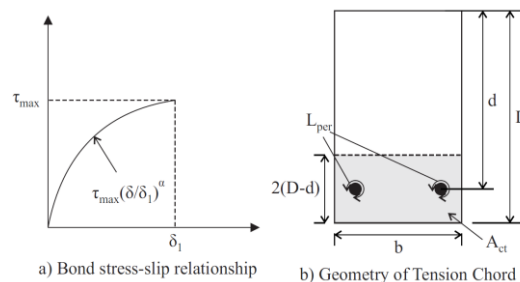
364 where S_{cr} is the crack spacing which can be determined using the following expression from
 365 Sturm et al. (2018)

$$366 \quad S_{cr} = \left[\frac{2^\alpha(1+\alpha)}{\lambda_2(1-\alpha)^{1+\alpha}} \right]^{\frac{1}{1+\alpha}} \left[\frac{f_{ct}-f_{pc}}{E_c} \left(\frac{E_c A_{ct}}{E_r A_{rt}} + 1 \right) \right]^{\frac{1-\alpha}{1+\alpha}} \quad (42)$$

367 in which

$$368 \quad \lambda_2 = \frac{\tau_{max} L_{per}}{\delta_1^\alpha} \left(\frac{1}{E_c A_{ct}} + \frac{1}{E_r A_{rt}} \right) \quad (43)$$

369 where τ_{\max} is the maximum bond stress for the longitudinal reinforcement, δ_1 is the slip at the
 370 maximum bond stress for the longitudinal reinforcement, α is the non-linearity, L_{per} is the
 371 bonded perimeter, A_{rt} is the cross-sectional area of tensile reinforcement, A_{ct} is the cross-
 372 sectional area of the tension chord, E_c is the elastic modulus of the concrete and f_{pc} is the post-
 373 cracking stress. The bond parameters τ_{\max} , δ_1 and α can be identified from the bond stress-slip
 374 relationship of the longitudinal reinforcement is determined from pullout tests on embedded
 375 reinforcement as shown in Fig. 3(a). Where experimental data is unavailable, the expressions
 376 suggested by Harajli (2009) for FRCs with strengths less than 100 MPa and Sturm & Visintin
 377 (2018) for FRCs with strengths exceeding 100 MPa can be used. The geometry of the tension
 378 chord is illustrated in Fig. 3(b) as this defines L_{per} and A_{ct} . The post-cracking stress can be
 379 estimated as the first local minimum after the peak as was done in Sturm et al. (2018)
 380



381
382 Fig. 3 Bond stress-slip relationship and geometry of tension chord

383
 384 To use the crack width from Eq. (41), the tensile stress-crack width relationship is required. As
 385 there are no general material models that cover the full range of FRC mixes, this needs to be
 386 determined experimentally. There has been little uniformity in terms of the testing approaches
 387 applied to FRC to characterise the tensile response. In the opinion of the authors, the best
 388 approach is to measure this directly using specimens sufficiently large such that the 3D
 389 orientation of the fibres is not disturbed such as those suggested by AS3600:2018 (Standards
 390 Australia 2018) or Visintin et al. (2018). Specimens that are not sufficiently large may disturb

391 the distribution of the fibres such that they become aligned with the applied force, hence,
392 overestimating the tensile strength for members where this is not the case.

393

394 **VALIDATION**

395 *Reinforced concrete members without stirrups*

396 The shear capacity expressions proposed in this paper for reinforced concrete members without
397 stirrups are first validated against a database of 626 tests from 26 references (Moody et al.
398 1954; Morrow & Viest 1957; Chang & Kesler 1958; Watstein & Mathey 1958; Sozen et al.
399 1959; Diaz de Cossio & Siess 1960; Diaz de Cossio 1962; Leonhardt & Walther 1962; Bresler
400 & Scordelis 1963; Mathey & Watstein 1963; Kani 1966; Krefeld & Thurston 1966; Kani 1967;
401 Bhal 1968; Mattock 1969; Placas & Regan 1971; Taylor 1972; Walraven 1978; Chana 1981;
402 Mphonde & Frantz 1984; Kotsovos 1987; Papadakis 1996; Collins & Kuchma 1999; Kim &
403 White 1999; Yost et al. 2001; Tang et al. 2009) compiled by Zhang et al (2016). The details of
404 the tests used for the validation are summarised in a spreadsheet in the supplementary material.
405 The tests are compared in Fig. 4 to the procedure in this paper as well as the codified approaches
406 in AS3600:2018 (Standards Australia 2018), ACI 318-19 (ACI 2019) and in Eurocode 2 (CEN
407 2004). For the validation, the elastic modulus of the reinforcement was assumed to be 200 GPa
408 while the elastic modulus of the concrete and the tensile strength where estimated using the
409 expressions in the fib Model Code 2010 (fib 2013). The shear friction material properties
410 suggested by Zhang et al. (2014b) were used in this validation.

$$411 \quad m = \frac{0.389f_c - c}{0.250 f_c} \quad (44)$$

$$412 \quad c = 1.15f_{ct} \quad (45)$$

413 where f_c is the concrete compressive strength and f_{ct} the tensile strength.

414

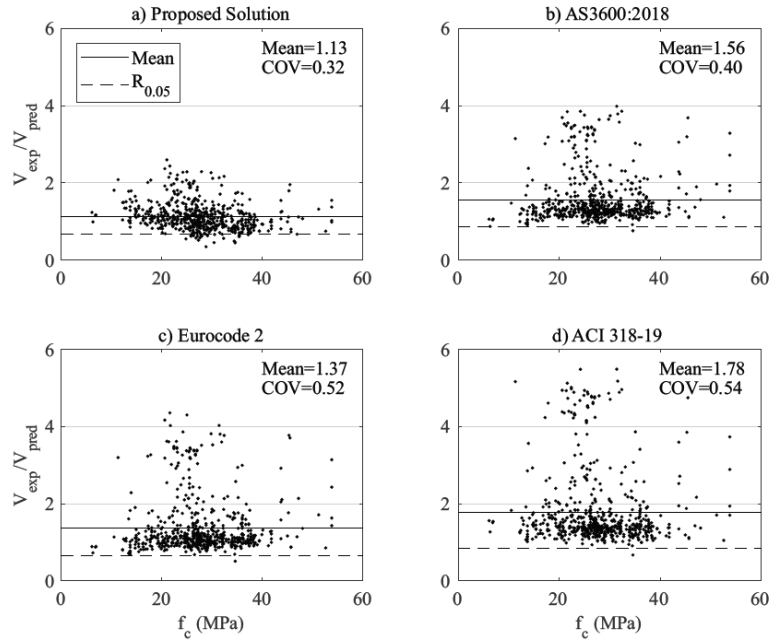


Fig. 4 Validation for reinforced concrete beams without stirrups

415

416

417

418 It can be seen in Fig. 4 that the proposed approach has a coefficient of variation (COV) of 0.32

419 which is a significant improvement over the codified approaches where the COV ranges from

420 0.40 to 0.54, also shown in Fig. 4, the mean fit of the proposed approach is 1.13 compared to

421 the range of 1.37 to 1.78 for the existing approaches. For design, the characteristic shear

422 capacity is

$$423 \quad V_d = 0.66V_{uc} \quad (46)$$

424 which was estimated by fitting a lognormal distribution. The characteristic value is given by

425 the lognormal distribution as

$$426 \quad R_{0.05} = \exp(\lambda - 1.645\varepsilon) \quad (47)$$

427 where λ is the mean of $\log(x)$, ε is the standard deviation of $\log(x)$ and x is the ratio of the

428 experimental to predicted values; this is derived in Appendix A.

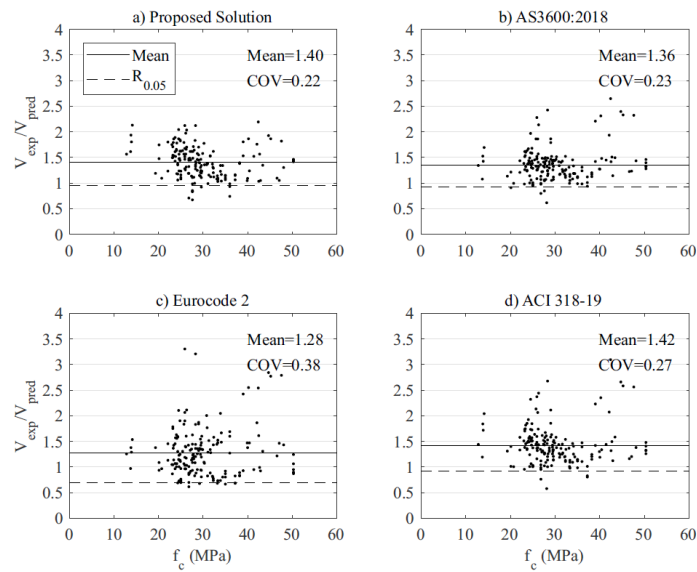
429

430 *Reinforced concrete members with stirrups*

431 The shear capacity expressions for reinforced concrete members with stirrups are validated
432 against a database of 176 tests from 16 references (Clark 1951; Bresler & Scordelis 1963;
433 Krefeld & Thurston 1966; Placas & Regan 1971; Swamy & Andriopoulos 1974; Mattock &
434 Wang 1984; Mphonde & Frantz 1985; Elzanaty et al. 1986; Anderson & Ramirez 1989; Sarsam
435 & Al-Musawi 1992; Xie et al. 1994; Yoon et al. 1996; Frosch 2000; Tompos & Frosch 2002;
436 Lee & Hwang 2010; Lee et al. 2011) compiled by Zhang et al. (2016b). The results are
437 compared in Fig. 5 to the procedure in this paper as well as the codified approaches
438 AS3600:2018 (Standards Australia 2018), ACI 318-19 (ACI 2019) and Eurocode 2 (CEN
439 2004). The proposed approach has the best COV of 0.22 which is a minor improvement over
440 the codified approaches that range between a COV of 0.23 and 0.36. However, the better fit to
441 beams without stirrups and to FRC beams generally validates this approach. It should be noted
442 that the presented approach is conservative with a mean of 1.4 which is in the same range as
443 for the codified approach which is due to using a smeared rather than discrete approach for the
444 stirrups. Hence the design shear capacity in this case is given by

$$445 \quad V_d = 0.95(V_{uc} + V_{us}) \quad (48)$$

446 For the validation, the elastic modulus of the reinforcement was again assumed to be 200 GPa
447 while the elastic modulus and tensile strength of the concrete were estimated with the
448 expressions in the fib Model Code 2010 (fib 2013). Eqs. (44) and (45) were again used to
449 determine the shear friction material properties.



450

451

Fig. 5 Validation for reinforced concrete beams with stirrups

452

453

454 *FRC members without stirrups*

455 The shear capacity of FRC members is validated against a database of 23 tests from 3 references
 456 (Casanova et al. 1997; Noghabai 2000; Amin & Foster 2016) compiled by Sturm et al. (2020).

457 There have been a large number of shear tests performed on FRC beams as evidenced by
 458 Lantsoght (2019), however in general, the tensile response of the FRC was poorly characterised

459 which makes comparison difficult. Hence, the data was only chosen from tests where the tensile

460 response was characterised over a range of crack widths in direct tension. The tests are

461 compared in Fig. 6 to the proposed approach as well as the solutions in Sturm et al. (2020)^a,

462 Sturm et al. (2020)^b, AS3600:2018 (Standards Australia 2018), AFGC (2013) and fib Model

463 Code 2010 (fib 2012), Choi et al. (2007), Zhang et al, (2016c) and Lee et al. (2016); “Sturm et

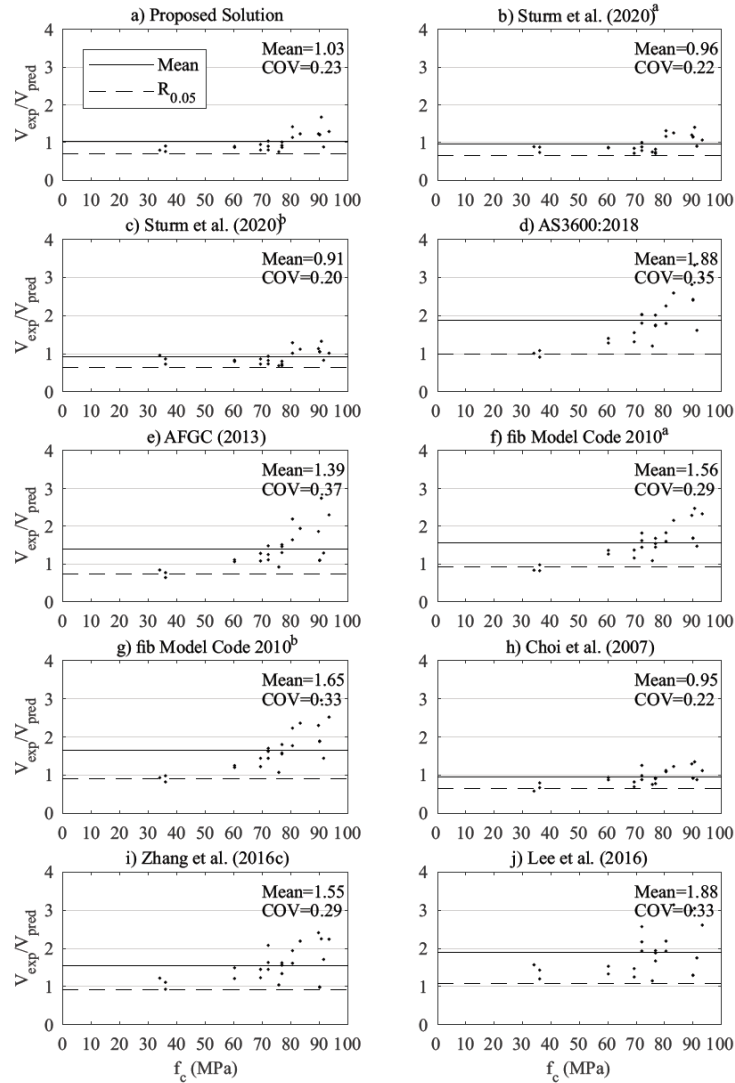
464 al. (2020)^a” refers to a numerical solution and “Sturm et al. (2020)^b” refers to the non-iterative

465 solution. Additionally, “fib Model Code 2010^a” refers to the solution based on the Eurocode 2

466 shear capacity expression while “fib Model Code 2010^b” refers to the shear capacity expression

467 based on simplified modified compression field theory. Note that the shear friction material
 468 properties were estimated again using Eqs. (44) and (45).

469



470

471

Fig. 6 Validation for FRC beams

472 From the comparisons in Fig. 6, the proposed solution was found to have a COV of 0.23 which
 473 can be compared to a COV of 0.20-0.22 for the approaches proposed in Sturm et al. (2020).

474 Hence, the significant simplifications in this paper have only produced a minimal loss in
 475 accuracy. The COV of 0.23 is also a significant improvement on the codified approaches which

476 had COVs between 0.29 and 0.37. The codified approaches are also conservative with means
 477 between 1.39 and 1.88 as compared to the 1.03 for the proposed solution. Zhang et al. (2016c)

478 and Lee et al. (2016) had means and COVs in the same range as the codified approaches.
 479 However, the mean and COV for Choi et al. (2007) is in the same range as the proposed
 480 solution. This is interesting as they propose a similar shear failure mechanism to Sturm et al.
 481 (2020) where the shear failure is controlled by the shear crack penetrating the flexural
 482 compression region. The proposed solution however is simpler than that proposed by Choi et
 483 al. (2007) since it does not require iteration to determine the maximum compressive strain at
 484 the loading point. The design shear capacity in this case is given by

$$V_d = 0.70(V_{uc} + V_{uf}) \quad (49)$$

487 *FRC members with stirrups*

488 Further avenues for research include FRC beams with stirrups as it would be useful to
 489 determine the reliability of these expressions when applied in this case. This was not done in
 490 this study as the only study available in the literature where the tensile response was well
 491 characterised was performed by Amin & Foster (2016), hence, sufficient data to determine the
 492 reliability of these expressions is not available.

494 **ANALYSIS WORKED EXAMPLE**

495 Consider the FRC beam in Fig. 7.

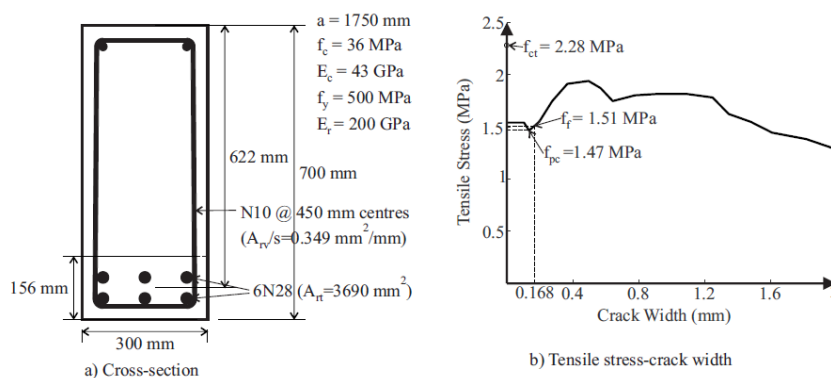


Fig. 7 Analysis worked example

499 The first step is to estimate the crack spacing, so starting with the bond parameter from Eq.

500 (43)

$$501 \quad \lambda_2 = \frac{(15.4 \text{ MPa})(528 \text{ mm}^2)}{(1.5 \text{ mm})^{0.3}} \left[\frac{1}{(43000 \text{ MPa})(46800 \text{ mm}^2)} + \frac{1}{(200000 \text{ MPa})(3690 \text{ mm}^2)} \right] = 13.3 \times$$

$$502 \quad 10^{-6} \text{ mm}^{-0.3} \quad (50)$$

503 where τ_{\max} is 15.4 MPa, δ_1 is 1.5 mm, α is 0.3 using the expressions in Harajli (2009).

504 Furthermore, A_{ct} is 46800 mm² and L_{per} is 528 mm². Hence the crack spacing is given by Eq.

505 (42) as

$$506 \quad S_{cr} = \left[\frac{2^{0.3}(1.3)}{(13.3 \times 10^{-6} \text{ mm}^{-0.3})(0.7)^{1.3}} \right]^{\frac{1}{1.3}} \left[\frac{2.28 \text{ MPa} - 1.47 \text{ MPa}}{43000 \text{ MPa}} \left(\frac{(43000 \text{ MPa})(46800 \text{ mm}^2)}{(200000 \text{ MPa})(3690 \text{ mm}^2)} + 1 \right) \right]^{\frac{0.7}{1.3}} =$$

$$507 \quad 67.0 \text{ mm} \quad (51)$$

508 The crack width at the depth of the tensile reinforcement is given by Eq. (41) using the yield

509 strain 0.0025

$$510 \quad w_d = 0.0025(42.0 \text{ mm}) = 0.168 \text{ mm} \quad (52)$$

511 Hence, the fibre stress f_f is 1.51 MPa. The next step is to evaluate the neutral axis depth. From

512 Eqs. (25-27)

$$513 \quad a_1 = -\frac{1}{2(4.65)} + \frac{1.51 \text{ MPa}}{500 \text{ MPa}} = -0.105 \quad (53)$$

$$514 \quad a_2 = 0.0198 + \frac{1.51 \text{ MPa}}{500 \text{ MPa}} \left(1 + \frac{700 \text{ mm}}{622 \text{ mm}} \right) = 0.0262 \quad (54)$$

$$515 \quad a_3 = 0.0198 + \frac{1.51 \text{ MPa}}{500 \text{ MPa}} \frac{700 \text{ mm}}{622 \text{ mm}} = 0.0232 \quad (55)$$

516 where the modular ratio n is 4.65 and the reinforcement ratio is ρ is 0.0198. Substituting in Eqs.

517 (25-27) into Eq. (24)

$$518 \quad \frac{d_{NA}}{d} = \frac{0.0262 - \sqrt{(0.0262)^2 + 4(0.105)(0.0232)}}{-2(0.105)} = 0.362 \quad (56)$$

519 Hence, the neutral axis depth d_{NA} is 225 mm such that the lever arm of the concrete d_c is 75

520 mm. The shear friction material properties can be estimated using Eqs. (44-45) to give m of

521 1.26 and c of 2.62 MPa. The next step is to evaluate the shear angle. Thus from Eq. (29)

522
$$C_3 = \frac{(2.62 \text{ MPa})(1750 \text{ mm})(225 \text{ mm})}{(1.37 \text{ MPa})(700 \text{ mm})^2} = 1.54 \quad (57)$$

523 where the effective tensile strength f_{ct}^* is 1.37 MPa. From Eq. (34-36)

524
$$b_1 = 1 - \frac{(1.26)(1750 \text{ mm})}{622 \text{ mm} - 75 \text{ mm}} - 1.54 = -4.57 \quad (58)$$

525
$$b_2 = \frac{(1.26)(622 \text{ mm}) + 1750 \text{ mm}}{622 \text{ mm} - 75 \text{ mm}} = 4.63 \quad (59)$$

526
$$b_3 = 1 - \frac{622 \text{ mm}}{622 \text{ mm} - 75 \text{ mm}} = -0.137 \quad (60)$$

527 Hence the shear angle is given by Eq. (37).

528
$$\tan(\beta_1) = \frac{-4.63 - \sqrt{(4.63)^2 - 4(4.57)(0.137)}}{-2(4.57)} = 0.983 \quad (61)$$

529 Therefore, the shear angle β_1 is given as 0.777 radians or 44.5°. The shear contribution due to
530 the concrete can now be evaluated. Hence, from Eqs. (16-18)

531
$$C_1 = \sin(0.777) [1.26 \sin(0.777) - \cos(0.777)] = 0.120 \quad (62)$$

532
$$C_2 = 1 - 0.120 \frac{1750 \text{ mm} - \frac{622 \text{ mm}}{0.983}}{622 \text{ mm} - 75 \text{ mm}} = 0.755 \quad (63)$$

533
$$V_{uc} = \frac{(2.62 \text{ MPa})(300 \text{ mm})(225 \text{ mm})}{0.755} = 234 \text{ kN} \quad (64)$$

534 The contribution of the stirrups is given by Eq. (38)

535
$$V_{us} = (500 \text{ MPa}) \left(0.349 \frac{\text{mm}^2}{\text{mm}} \right) \frac{622 \text{ mm} - 225 \text{ mm}}{0.983} = 70.5 \text{ kN} \quad (65)$$

536 The contribution of the fibres is given by Eq. (40)

537
$$V_{uf} = (1.51 \text{ MPa}) \frac{(300 \text{ mm})(700 \text{ mm} - 225 \text{ mm})}{0.983} = 219 \text{ kN} \quad (66)$$

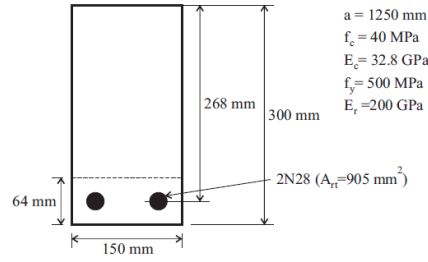
538 Hence the shear capacity is given by Eq. (4) as

539
$$V_u = 234 \text{ kN} + 70.5 \text{ kN} + 219 \text{ kN} = 524 \text{ kN} \quad (67)$$

540

541 DESIGN WORKED EXAMPLE

542 Consider the beam in Fig. 8.



543

544

Fig. 8 Design worked example

545

546 The beam is subject to a shear force V^* of 100 kN. So first determine the shear capacity of the

547 section without fibres or stirrups. From Eqs. (44-45) the shear friction material properties are

548 m equal to 1.29 and c equal to 2.62 MPa. Next evaluate the neutral axis depth. So, first evaluate

549 Eqs. (25-27)

$$550 \quad a_1 = -\frac{1}{2(6.1)} = -0.082 \quad (68)$$

$$551 \quad a_2 = a_3 = \rho = 0.0225 \quad (69)$$

552 where the modular ratio n is 6.1 and the reinforcement ratio ρ is 0.0225. From Eq. (24) the

553 neutral axis depth is

$$554 \quad \frac{d_{NA}}{d} = \frac{0.0225 - \sqrt{(0.0225)^2 + 4(0.082)(0.0225)}}{-2(0.082)} = 0.404 \quad (70)$$

555 Hence the neutral axis depth d_{NA} is 108 mm. The lever arm of the concrete d_c is 36 mm. Next

556 evaluate the shear angle. Hence from Eq. (29)

$$557 \quad C_3 = \frac{(2.62 \text{ MPa})(1250 \text{ mm})(108 \text{ mm})}{(1.37 \text{ MPa})(300 \text{ mm})^2} = 2.87 \quad (71)$$

558 Next evaluate Eqs. (34-36)

$$559 \quad b_1 = 1 - \frac{(1.29)(1250 \text{ mm})}{268 \text{ mm} - 36 \text{ mm}} - 2.87 = -8.82 \quad (72)$$

$$560 \quad b_2 = \frac{(1.29)(268 \text{ mm}) + 1250 \text{ mm}}{268 \text{ mm} - 36 \text{ mm}} = 6.88 \quad (73)$$

$$561 \quad b_3 = 1 - \frac{268 \text{ mm}}{268 \text{ mm} - 36 \text{ mm}} = -0.155 \quad (74)$$

562 Hence the shear angle is given by Eq. (37) as

563
$$\tan(\beta_1) = \frac{-6.88 - \sqrt{6.88^2 - 4(8.82)(0.155)}}{-2(8.82)} = 0.757 \quad (75)$$

564 Therefore, the shear angle is 0.648 radians or 37.1°. The shear contribution of the concrete is
565 now given by Eqs. (16-18) as

566
$$C_1 = \sin(0.648) [1.29 \sin(0.648) - \cos(0.648)] = -0.0113 \quad (76)$$

567
$$C_2 = 1 + 0.0113 \frac{1250 \text{ mm} - \frac{268 \text{ mm}}{0.757}}{268 \text{ mm} - 36 \text{ mm}} = 1.04 \quad (77)$$

568
$$V_{uc} = \frac{(2.62 \text{ MPa})(150 \text{ mm})(108 \text{ mm})}{1.04} = 40.8 \text{ kN} \quad (78)$$

569 Hence, if the total required shear capacity is 100 kN then an additional 59.2 kN is required
570 from the fibres. Hence rearranging Eq. (40) gives the required stress in the fibres as

571
$$f_f = \frac{V_{uf}}{b(D-d_{NA})} \tan(\beta) = \frac{59200 \text{ N}}{(150 \text{ mm})(300 \text{ mm} - 108 \text{ mm})} 0.757 = 1.56 \text{ MPa} \quad (79)$$

572 Note that the presence of fibres effects the neutral axis depth. Recalculating the neutral axis
573 depth using this fibre stress gives the neutral axis depth as 112 mm. Using the new value of the
574 neutral axis depth the shear angle is 36.8° and the concrete contribution to the shear capacity
575 is 41.1 kN. Using these new values the required fibre stress is again 1.56 MPa.

576 The next step is to determine the crack width at which this stress needs to occur. So from Eqs.
577 (50-51) the crack spacing is given by

578
$$\lambda_2 = \frac{(16.3 \text{ MPa})(151 \text{ mm}^2)}{(1.5 \text{ mm})^{0.3}} \left[\frac{1}{(32800 \text{ MPa})(9600 \text{ mm}^2)} + \frac{1}{(200000 \text{ MPa})(905 \text{ mm}^2)} \right] = 19 \times 10^{-6} \text{ mm}^{-0.3} \quad (80)$$

580
$$S_{cr} = \left[\frac{2^{0.3}(1.3)}{(19 \times 10^{-6} \text{ mm}^{-0.3})(0.7)^{1.3}} \right]^{1.3} \left\{ \frac{2.28 \text{ MPa} - 1.56 \text{ MPa}}{32800 \text{ MPa}} \left[\frac{(32800 \text{ MPa})(9600 \text{ mm}^2)}{(200000 \text{ MPa})(905 \text{ mm}^2)} + 1 \right] \right\}^{0.7} = 46.9 \text{ mm} \quad (81)$$

581 in which τ_{\max} is 16.3 MPa, δ_1 is 1.5 mm and α is 0.3 using the expressions in Harajli (2009).
582 From the geometry of the tension chord in Fig. 3(b) L_{per} is 151 mm and A_{ct} is 9600 mm². Hence,
583 from Eq. (41) the crack width is given by

585
$$w_d = 0.0025(46.9 \text{ mm}) = 0.117 \text{ mm} \quad (82)$$

586 Therefore, FRC with a minimum tensile stress of 1.56 MPa at a crack width of 0.117 mm can
587 be used.

588 If the required shear capacity was actually 150 kN then this 50 kN shortfall could be
589 accommodated by including transverse reinforcement, hence rearranging Eq. (38) gives

$$590 \quad \frac{A_{rv}}{s} = \frac{V_{us}}{f_y(d-d_{NA})} \tan(\beta) = \frac{50000 \text{ N}}{500 \text{ MPa}(268 \text{ mm}-112 \text{ mm})} (0.874) = 0.56 \text{ mm}^2/\text{mm} \quad (83)$$

591 where the yield strength of the transverse reinforcement is 500 MPa. Hence, this requirement
592 can be met by providing 8 mm diameter stirrups at 150 mm spacings.

593

594 **CONCLUSION**

595 Based on free body mechanics, simple design rules have been developed for the shear capacity
596 of reinforced concrete beams. It has been demonstrated from the validation that these solutions
597 are more accurate and precise than conventionally codified solutions for reinforced concrete
598 beams without stirrups and FRC beams while providing comparable performance to the
599 conventional codified solutions for reinforced concrete beams with stirrups. These rules
600 separate the contributions of the concrete, stirrups and fibres to the shear capacity and as such
601 can be used by engineers as a convenient tool to design members with any combination of
602 concrete, stirrups and fibres and with new types of materials. To illustrate the convenience of
603 this approach, a worked example of a design is given.

604

605 Previous studies have demonstrated that the application of mechanics can result in accurate
606 solutions for the shear capacity of FRC beams. However, these solutions were too complicated
607 for design. Hence in this paper, new design oriented solutions have been developed for the
608 shear capacity of FRC beams. The simplifications that were applied include using the flexural
609 neutral axis depth which removes the need to iterate this parameter. In this case the equations
610 for equilibrium form a system of linear equations which have a simple solution. A closed-form

611 solution for the shear angle was also developed. A convenient approach has also been suggested
612 for estimating the stress in the fibres without having to integrate the values across a range of
613 crack widths. These have then been validated and compared to codified solutions where it was
614 found that for reinforced concrete beams without stirrups the COV was 0.32 compared to 0.40
615 for the best codified solution. The mean was also 1.13 as compared to 1.56 for the best codified
616 solution. For reinforced concrete beams with stirrups, the COV was 0.22 compared to 0.23 for
617 the best codified solution. The mean was 1.4 which is in the same range as for the other
618 solutions. For FRC beams, the COV was 0.23 compared to 0.35 for the current Australian
619 standard. The mean was 1.03 as compared to 1.88 for the current Australian standard. The
620 solution also retains much of the accuracy of the numerical solutions presented in Sturm et al.
621 (2020) with the COV increasing to only 0.23 from a COV of 0.20. The presented solutions are
622 also simpler than the current Australian standard as no iteration is required to determine the
623 longitudinal strain at the centroid of the beam. Additionally, a log-normal distribution was
624 fitted to the experimental to predicted results to allow the characteristic shear strength to be
625 determined from the mean values. The primary improvement over previous codified
626 expressions for shear is that the concrete and fibre contributions are related to the neutral axis
627 depth. The solution also includes a simple method to estimate the fibre stress which does not
628 require either the use of an excessively conservative value or iteration to determine the fibre
629 stress.

630

631 **APPENDIX A CHARACTERISTIC RESISTANCE FOR LOG-NORMAL** 632 **DISTRIBUTION**

633 The characteristic value is defined as the value for which only 5% of observations are less than
634 the given value. The cumulative distribution function for a log-normal distribution (Melchers
635 & Beck 2018) is

636
$$F(x) = \Phi \left[\frac{\ln(x) - \lambda}{\varepsilon} \right] \quad (\text{A1})$$

637 where x is the random variable, $\Phi(x)$ is the cumulative distribution function for a normal
638 distribution, λ is the mean of $\log(x)$ and ε is the standard deviation of $\log(x)$. Hence setting $F(x)$
639 to 0.05 gives

640
$$\frac{\ln(R_{0.05}) - \lambda}{\varepsilon} = \Phi^{-1}(0.05) = -1.645 \quad (\text{A2})$$

641 where $R_{0.05}$ is the characteristic value and $\Phi^{-1}(x)$ is the inverse cumulative distribution function
642 for a normal distribution. Rearranging gives the characteristic value as

643
$$R_{0.05} = \exp(\lambda - 1.645\varepsilon) \quad (\text{A3})$$

644

645 **DATA AVAILABILITY STATEMENT**

646 All data, models, and code generated or used during the study appear in the submitted article.

647

648 **ACKNOWLEDGEMENTS**

649 This material is based upon work supported by the Australian Research Council Discovery
650 Project 190102650"

651

652 **NOTATION**

653 A_{ct} = cross-sectional area of tension chord;

654 A_{rt} = cross-sectional area of tensile reinforcement;

655 A_{rv} = cross-sectional area of stirrups;

656 a = shear span;

657 a_1, a_2, a_3 = parameters for Eq. (24)

658 b = width;

659 b_1, b_2, b_3 = parameters for Eq. (33);

660 C_1, C_2 = parameters for Eq. (16);

661 C_3 = parameter for Eq. (34);

662 c = cohesive component of the shear strength;

663 D = total depth;

664 d = effective depth;

665 d_c = lever arm of the compressive concrete;

666 d_{NA} = neutral axis depth;

667 E_c = elastic modulus of the concrete;

668 E_r = elastic modulus of the reinforcement;

669 $F(x)$ = cumulative distribution function for log-normal distribution;

670 F_c = force in the compressive concrete;

671 F_f = force in the fibres;

672 F_{rt} = force in tensile reinforcement;

673 F_{st} = force in the stirrups;

674 f_c = concrete strength;

675 f_{ct} = tensile strength;

676 f_{ct}^* = effective tensile strength;

677 f_f = stress in the fibres;

678 f_{pc} = post cracking stress;

679 f_y = yield strength;

680 L_{per} = bonded perimeter;

681 m = frictional component of the shear strength;

682 n = modular ratio ($=E_r/E_c$);

683 $R_{0.05}$ = characteristic value;

684 S_{cap} = sliding capacity;
685 S_{cr} = crack spacing;
686 s = stirrup spacing;
687 V_{cr} = shear force to cause cracking;
688 V_d = design shear capacity;
689 V_u = mean shear capacity;
690 V_{uc} = contribution of the concrete to the shear capacity;
691 V_{uf} = contribution of the fibres to the shear capacity;
692 V_{us} = contribution of the stirrups to the shear capacity;
693 v = material shear strength;
694 w_d = crack width at the effective depth;
695 x = random variable;
696 α = non-linearity;
697 β = shear angle;
698 β_1 = angle of critical diagonal shear crack;
699 δ_1 = slip at the maximum bond stress;
700 ε = standard deviation of $\log(x)$;
701 ε_d = strain at the effective depth;
702 θ = rotation;
703 λ = mean of $\log(x)$;
704 λ_2 = bond parameter;
705 ρ = reinforcement ratio ($=A_{rt}/bd$);
706 σ_N = normal stress;
707 τ_{max} = maximum bond stress;
708 $\Phi(x)$ = cumulative distribution function for normal distribution;

709 χ = curvature;

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